



Regulatory and Other Committee

Open Report on behalf of Pete Moore, Executive Director Resources & Community Safety

Report to:	Audit Committee
Date:	27 January 2014
Subject:	Corporate Audit Progress Report to 31st December 2013

Summary:

This report provides an update on progress made against the Audit Plan 2013/14.

Recommendation(s):

That the Committee notes the outcomes of Corporate Audit work and identifies any actions it requires.

Background

This report provides an update on the progress made against the Audit Plan 2013/14. Details can be found in Appendix A, including:

- Key messages on Internal Audit work completed or in progress
- Other significant pieces of work undertaken
- Summaries of audits with Substantial or Full assurance
- Detail of progress made against the audit plan

Conclusion

We have made reasonable progress with the plan given the resourcing issues experienced over the year – there is a significant amount of work currently underway and we can provide assurances that all remaining audits are scheduled in for the remainder of the year. We have engaged temporary audit resources to help us achieve this.

The Committee made a specific point about the reduction in coverage within Public Health – we have, so far, completed audit testing on their contract management activities, worked with the directorate to compile their assurance map and have carried out workshops to identify and assess their key risks and risk appetite. A due diligence audit is scheduled for February 2014 which will cover the key financial areas.

The update of the Annual Governance Statement also provides information on the strategic risk relating to the Public Health transition – this is considered in another paper and aims to provide assurances around how well the transition has been managed.

A further progress report is scheduled for March 2014.

The Committee should note the outcomes of the audit work undertaken and identify any action required.

Consultation

a) Policy Proofing Actions Required

N/A

Appendices

These are listed below and attached at the back of the report	
Appendix A	Corporate Audit Progress Report
Appendix B	CIPFA Audit Committee Update No.12 (please note: this appendix is only available electronically at www.lincolnshire.gov.uk/committee records)

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Lucy Pledge, who can be contacted on 01522-553692 or lucy.pledge@lincolnshire.gov.uk.